

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Koji (nmi) Takeguchi
Serial No.: 10/775,929
Filing Date: February 10, 2004
Group Art No.: 2664
Confirmation No.: 3182
Title: Upgraded Flexible Open Ring Optical Network and Method

Mail Stop: Amendments
Commissioner For Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Applicant respectfully requests, pursuant to 37 C.F.R. §§1.56, 1.97, and 1.98, that the documents listed on the attached Form PTO-1449 be considered and cited in the examination of the above-identified application.

Pursuant to the changes to C.F.R. §1.98(a)(2), copies of U.S. patents and U.S. patent application publications have not been provided. References other than U.S. patents and U.S. patent application publications are enclosed for the convenience of the Examiner.

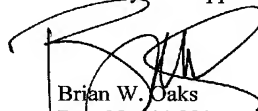
Pursuant to 37 C.F.R. §§1.97(g) and (h), Applicant makes no representation that these documents qualify as prior art or that these documents are material to patentability of the present application or that a search has been made.

REMARKS

Pursuant to 37 C.F.R. § 1.97(b)(3), Applicant believes that this Information Disclosure Statement has been filed before the mailing date of the first Official Action in this case. Therefore, Applicant believes that no fee is due. However, if a fee is required, the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 02-0384 of Baker Botts, L.L.P.

Respectfully submitted,
BAKER BOTTS L.L.P.

Attorneys for Applicant



Brian W. Oaks
Reg. No. 44,981

Date: September 8, 2006

Correspondence Address:

Customer Number

05073

PTO-1449 Information Disclosure Citation In an Application		Application No. 10/775,929		Applicant(s) Koji (nmi) Takeguchi	
		Docket Number 064731.0350	Group Art Unit 2664	Filing Date February 10, 2004	

U.S. PATENT DOCUMENTS							
		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A	2002/0044315	04/18/2002	Sugawara et al.			
	B	2003/0170020	09/11/2003	Chaudhuri et al.			
	C						
	D						
	E						
	F						

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	G							
	H							
	I							

NON-PATENT DOCUMENTS		
	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
	J	
	K	
	L	
	M	
	N	
	O	

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

U.S. PATENT AND TRADEMARK OFFICE